

RAÚL M. GRIJALVA  
7TH DISTRICT OF ARIZONA

COMMITTEE ON NATURAL RESOURCES  
Subcommittee on National Parks, Forests and Public  
Lands, Chair  
Subcommittee on Water and Power

COMMITTEE ON EDUCATION AND LABOR  
Subcommittee on Workforce Protections  
Subcommittee Early Childhood, Elementary and  
Secondary Education

CONGRESSIONAL PROGRESSIVE CAUCUS,  
Co-Chair



Congress of the United States 112 3 PM 2:58  
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<http://grijalva.house.gov/>

September 1, 2010

Office of the Clerk  
ATTN: Lorraine C. Miller  
U.S. Capitol, Room H154

INSIDE MAIL

✓  
MC

Dear Ms. Miller:

This letter is to inform you of amendments that must be made to Rep. Raúl M. Grijalva's 2008 and 2009 financial disclosure.

After submitting Rep. Grijalva's 2009 disclosure, I was contacted by committee staff and informed of an irregularity. I was informed that some assets did not appear on the 2009 disclosure, but should have, as they were not listed as fully sold in 2008. In fact, those assets were fully sold in 2008. My predecessor erred in filing the 2008 disclosure. **Thus, I am submitting the following amendments to the 2008 disclosure:**

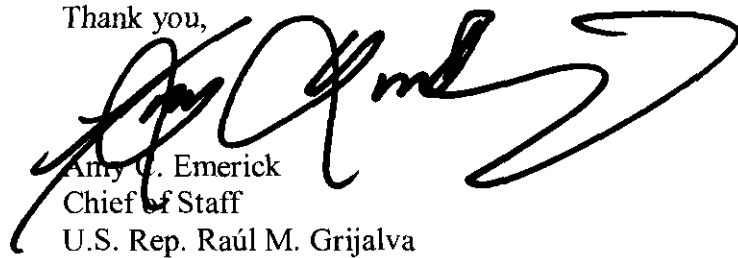
- **TEPPCO PARTNERS LP UNIT** – Income source is spouse (SP); Year-end value is NONE; Type of income is CAPITAL GAINS; Amount of income \$2,501 - \$5,000; Full sale (S) of asset
- **PRECISION DRILLING** – Income source is spouse (SP); Year-end value is NONE; Type of income is CAPITAL GAINS; Amount of income \$2,501 - \$5,000; Full sale (S) of asset
- **GARMIN LTD** – Income source is spouse (SP); Year-end value is NONE; Type of income is CAPITAL GAINS; Amount of income \$2,501 - \$5,000; Full sale (S) of asset
- **FIRST INDL REALTY TRUST** – Income source is spouse (SP); Year-end value is NONE; Type of income is CAPITAL GAINS; Amount of income \$1,001 - \$2,500; Full sale (S) of asset

**I am also submitting an amendment to the 2009 disclosure:**

In Schedule IV, I included assets that I failed to list in Schedule III. I have included an updated copy of the disclosure with this letter. The assets that have been added to Schedule III are:

- **VALERO ENERGY CORP**
- **JP MORGAN CHASE CAP XI**
- **AMERIGAS PARTNERS LP UNIT.**

Thank you,

A large, stylized handwritten signature in black ink, likely belonging to Amy C. Emerick, is written over the typed name and title.

Amy C. Emerick

Chief of Staff

U.S. Rep. Raúl M. Grijalva

# UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees.

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INSIDE MAIL

RAUL M GRIJALVA

(Full Name)

202-225-2435

(Daytime Telephone)

2011 SEP 13 PM 2:59

(Office Use Only)

<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: AZ District: 7	<input type="checkbox"/> Officer Or Employee	Employing Office	A \$200 penalty shall be assessed against anyone who files more than 30 days late.
<b>Report Type</b>	<input type="checkbox"/> Annual (May 15)	<input checked="" type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date:	

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>Trusts-</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name RAUL M GRIJALVA

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM	SPOUSE PENSION	N/A

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name RAUL M GRIJALVA

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
JT	3426 S 9TH AVE., TUCSON, AZ	\$50,001 - \$100,000	RENT	\$1 - \$200	N/A
SP	ALLIANCE VALUE MARK IV ANNUITY	\$15,001 - \$50,000	None	NONE	N/A
SP	ARIZONA STATE RETIREMENT SYSTEM	\$1,001 - \$15,000	None	NONE	N/A
SP	FIDELITY ADVISOR MID CAP FUND	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	N/A
SP	WASHINGTON MUTUAL INVESTORS FUND CLASS A	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	N/A
SP	VAN KAMPEN AMERICAN CAPITAL BOND FUND	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name RAUL M GRUJALVA

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SP	BAC CAPITAL TR V GTD CAP SECS	\$1 - \$1,000	INTEREST	\$1 - \$200	P
SP	VALERO ENERGY CORP NEW	None	CAPITAL GAINS	\$2,501 - \$5,000	S
SP	AMERIGAS PARTNERS LP UNIT OF LIMITED PARTNERSHIP INTEREST	None	CAPITAL GAINS	\$2,501 - \$5,000	S
SP	JP MORGAN CHASE CAP XI	None	CAPITAL GAINS	\$2,501 - \$5,000	S

# SCHEDULE IV - TRANSACTIONS

Name RAUL M GRIJALVA

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	ADOBE SYSTEMS INC	S	No	2/23/09	\$1,001 - \$15,000
SP	AMERIGAS PARTNERS LP UNIT OF LIMITED PARTNERSHIP INTEREST	S	Yes	2/5/09	\$1,001 - \$15,000
SP	BAC CAPITAL TR V GTD CAP SECS	P	N/A	9/10/09	\$1,001 - \$15,000
SP	CONSOLIDATED EDISON INC	S	Yes	9/2/09	\$1,001 - \$15,000
SP	EMC CORP MASS	S	No	6/23/09	\$1,001 - \$15,000
SP	JOHN HANCOCK REGIONAL BANK FD CLASS A	S	No	2/23/09	\$1,001 - \$15,000
SP	JP MORGAN CHASE CAP XI	S	Yes	7/28/09	\$1,001 - \$15,000
SP	JP MORGAN CHASE CAP XI 5.875% PFD	S	No	7/29/09	\$1,001 - \$15,000
SP	MANITOWOC CO INC	S	No	2/23/09	\$1,001 - \$15,000
SP	OPPENHEIMER INTL BOND FUND	S	No	3/2/09	\$1,001 - \$15,000
SP	TEREX CORP NEW	S	No	2/23/09	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name RAUL M GRJALVA

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	VALERO ENERGY CORP NEW	P	N/A	7/10/09	\$1,001 - \$15,000
SP	VALERO ENERGY CORP NEW	S	Yes	8/5/09	\$1,001 - \$15,000
SP	VAN KAMPEN AMERICAN CAPITAL BOND FUND	P	N/A	6/30/09	\$1,001 - \$15,000



**SCHEDULE V - LIABILITIES**

Name **RAUL M GRIJALVA**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	WRIGHT PATMAN FEDERAL CREDIT UNION	CREDIT CARD	\$15,001 - \$50,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name RAUL M GRJALVA

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
SIERRA CLUB	SEPT 26- 27	DC-SAN FRANCISCO- MCCALLEN	Y	Y	N	NONE
UNIVERSITY OF TEXAS PAN AMERICAN	SEPT 27- 28	MCCALLEN-DC	Y	Y	N	NONE
			N	N	N	